

SMU  
Fidelity DC Plan  
As of 6/30/2021

		Morningstar										Dollars in Thousands					
Fund/Option	Ticker	Category	Overall Rating	Total Returns				Category Ranking				Assets	% of Assets	Investment Fees		Revenue Sharing	
				3 Mth	1 Yr	3 Yr	5 Yr	3 Mth	1 Yr	3 Yr	5 Yr			Expense	Ratio	Allowance	Ratio
Fidelity Freedom® Blnd Inc K6	FHRDX	Target-Date Retirement	**	2.8%	9.3%	6.8%	5.9%	82	85	78	71	\$0.0	0.0%	\$0.0	0.26%	\$0.0	0.00%
Fidelity Freedom® Blnd 2005 K6	FHQDX	Target-Date 2000-2010	**	2.9%	11.2%	7.4%	7.0%	95	93	87	79	\$11.6	0.0%	\$0.0	0.26%	\$0.0	0.00%
Fidelity Freedom® Blnd 2010 K6	FHPDX	Target-Date 2000-2010	***	3.5%	15.0%	8.6%	8.3%	57	48	56	13	\$41	0.1%	\$0.1	0.27%	\$0.0	0.00%
Fidelity Freedom® Blnd 2015 K6	FHODX	Target-Date 2015	****	4.1%	18.9%	9.7%	9.5%	28	19	26	6	\$947	1.9%	\$2.7	0.28%	\$0.0	0.00%
Fidelity Freedom® Blnd 2020 K6	FHNDX	Target-Date 2020	****	4.7%	22.6%	10.8%	10.5%	17	17	13	5	\$1,516	3.0%	\$4.4	0.29%	\$0.0	0.00%
Fidelity Freedom® Blnd 2025 K6	FHLDX	Target-Date 2025	****	5.0%	25.3%	11.6%	11.3%	19	12	14	7	\$1,616	3.2%	\$5.0	0.31%	\$0.0	0.00%
Fidelity Freedom® Blnd 2030 K6	FHKDX	Target-Date 2030	****	5.4%	29.0%	12.5%	12.8%	31	13	15	3	\$1,263	2.5%	\$4.0	0.32%	\$0.0	0.00%
Fidelity Freedom® Blnd 2035 K6	FHJDX	Target-Date 2035	****	6.3%	35.9%	13.9%	14.3%	13	4	10	1	\$1,459	2.9%	\$5.0	0.34%	\$0.0	0.00%
Fidelity Freedom® Blnd 2040 K6	FHHDX	Target-Date 2040	****	6.8%	40.5%	14.9%	14.9%	10	4	4	1	\$637	1.3%	\$2.2	0.35%	\$0.0	0.00%
Fidelity Freedom® Blnd 2045 K6	FHFDX	Target-Date 2045	****	6.8%	40.4%	14.9%	14.9%	26	6	11	2	\$825	1.7%	\$2.9	0.35%	\$0.0	0.00%
Fidelity Freedom® Blnd 2050 K6	FHEDX	Target-Date 2050	****	6.8%	40.4%	14.9%	14.9%	29	5	16	3	\$597	1.2%	\$2.1	0.35%	\$0.0	0.00%
Fidelity Freedom® Blnd 2055 K6	FHDDX	Target-Date 2055	****	6.8%	40.4%	14.9%	14.9%	29	9	18	7	\$141	0.3%	\$0.5	0.35%	\$0.0	0.00%
Fidelity Freedom® Blnd 2060 K6	FHCDX	Target-Date 2060	****	6.8%	40.3%	14.9%	14.9%	37	12	22	9	\$26	0.1%	\$0.1	0.35%	\$0.0	0.00%
Fidelity Freedom® Blnd 2065 K6	FFBQX	Target-Date 2065+	-	6.8%	40.5%	-	-	32	14	-	-	\$128	0.3%	\$0.4	0.35%	\$0.0	0.00%
Subtotal Target Date Funds				5.6%	29.6%	12.5%	12.4%	22	11	14	4	\$9,208	18.5%	\$29.4	0.32%	\$0.0	0.00%
Dodge & Cox Stock	DODGX	Large Value	*****	8.8%	58.9%	15.8%	17.4%	3	6	10	3	\$2,499	5.0%	\$13.0	0.52%	\$2.5	0.10%
Parnassus Core Equity Institutional	PRILX	Large Blend	*****	7.4%	42.6%	21.4%	18.0%	62	35	3	16	\$67	0.1%	\$0.4	0.63%	\$0.1	0.10%
Fidelity 500 Index	FXAIX	Large Blend	*****	8.6%	40.8%	18.7%	17.6%	26	50	26	25	\$7,276	14.6%	\$1.5	0.02%	\$0.0	0.00%
T. Rowe Price Instl Large Cap Core Gr	TPLGX	Large Growth	*****	12.0%	36.7%	21.8%	24.2%	20	79	56	22	\$8,023	16.1%	\$44.9	0.56%	\$0.0	0.00%
Fidelity Extended Market Index	FSMAX	Mid-Cap Blend	**	7.1%	61.6%	18.6%	18.8%	52	11	68	59	\$5,039	10.1%	\$2.5	0.05%	\$0.0	0.00%
Northern Small Cap Value	NOSGX	Small Value	***	1.7%	57.1%	6.5%	9.8%	90	85	81	82	\$25	0.1%	\$0.2	1.00%	\$0.1	0.40%
Fidelity Small Cap Growth R6	FOCSX	Small Growth	***	4.9%	52.7%	20.0%	22.7%	51	53	42	33	\$246	0.5%	\$1.5	0.61%	\$0.0	0.00%
Fidelity Global ex US Index	FSGGX	Foreign Large Blend	*****	5.4%	35.3%	9.5%	11.0%	37	36	31	32	\$493	1.0%	\$0.3	0.06%	\$0.0	0.00%
American Funds EuroPacific Growth R6	RERGX	Foreign Large Growth	***	7.0%	40.1%	13.8%	14.5%	44	22	42	34	\$2,911	5.8%	\$13.4	0.46%	\$0.0	0.00%
Lazard Emerging Markets Equity Instl	LZEMX	Diversified Emerging Mkts	**	5.5%	40.9%	6.7%	7.7%	40	55	90	91	\$28	0.1%	\$0.3	1.08%	\$0.0	0.15%
Fidelity Inv MM Fds Government Instl	FRGXX	Money Market Taxable	-	0.0%	0.0%	1.2%	1.0%	-	-	-	-	\$2,857	5.7%	\$4.0	0.14%	\$0.0	0.00%
PIMCO Low Duration Instl	PTLDX	Short-Term Bond	***	0.0%	1.1%	2.9%	2.2%	96	76	75	59	\$0	0.0%	\$0.0	0.87%	\$0.0	0.00%
Dodge & Cox Income	DODIX	Intermediate-Term Bond	****	2.0%	3.4%	6.4%	4.5%	62	33	21	16	\$2,291	4.6%	\$9.6	0.42%	\$1.8	0.08%
Fidelity US Bond Index	FXNAX	Intermediate-Term Bond	***	1.9%	-0.5%	5.4%	3.0%	51	83	44	56	\$3,491	7.0%	\$1.0	0.03%	\$0.0	0.00%
Fidelity Inflation-Protected Bond Index	FIPDX	Inflation-Protected Bond	***	3.3%	6.3%	6.4%	4.1%	21	69	30	36	\$313	0.6%	\$0.2	0.05%	\$0.0	0.00%
Fidelity Balanced K	FBAKX	Allocation 50% to 70% Equity	*****	6.4%	33.5%	16.4%	14.7%	13	11	3	2	\$5,011	10.1%	\$22.5	0.45%	\$10.0	0.20%
Cohen & Steers Real Estate Securities I	CSDIX	Real Estate	*****	12.2%	37.9%	14.0%	9.4%	41	38	16	9	\$48	0.1%	\$0.4	0.86%	\$0.0	0.10%
Subtotal Core Investment Options				6.9%	30.7%	14.4%	15.0%	31	43	37	28	\$40,618	81.5%	\$115.8	0.29%	\$14.6	0.04%
Core Rankings Excluding Index Funds								19	38	26	12						
Subtotal	18			6.7%	30.5%	14.1%	13.4%	29	37	32	23	\$49,826	100.0%	\$145.3	0.29%	\$14.6	0.03%
Rankings Excluding Index Funds	5							20	30	23	9						
Expense Ratio Less Revenue Sharing														\$130.7	0.26%		
Participant Flat Fee														\$0.0	0.00%		
Net DC Plan Fees														\$130.7	0.26%		
Total Fidelity Funds	9											\$33,934	68.1%	\$63.0	0.19%	\$10.0	15.9%
Total Fidelity Funds Excl Freedom	8											\$24,726	49.6%	\$33.5	0.14%	\$10.0	29.9%
Total Non Fidelity Funds	9											\$15,892	31.9%	\$82.3	0.52%	\$4.6	5.6%

SMU  
TIAA-CREF DC Plan  
As of June 30, 2021  
\$ In Thousands

Investment option	TIAA-CREF Category	Total Returns			Expense		Assets	%	Benchmark	Total Returns		
		YTD	1 Yr	5 Yr	Ratio	Amount				YTD	1 Yr	5 Yr
CREF Equity Index R2	Equities	17.8%	43.8%	17.6%	0.22%	\$8	\$3,712	9.9%	Russell 1000	15.0%	43.1%	18.0%
CREF Global Equities R2	Equities	11.8%	41.8%	15.3%	0.27%	\$11	\$4,268	11.3%	MSCI AC World	12.3%	39.3%	14.6%
CREF Growth R2	Equities	14.9%	42.6%	23.1%	0.24%	\$18	\$7,791	20.7%	Russell 1000 Growth	13.0%	42.5%	23.7%
CREF Social Choice R2	Equities	9.5%	23.5%	10.8%	0.25%	\$2	\$629	1.7%	Russell 1000	15.0%	43.1%	18.0%
CREF Stock R2	Equities	14.5%	42.0%	15.3%	0.29%	\$22	\$7,580	20.1%	Russell 1000	15.0%	43.1%	18.0%
CREF Bond Market R2	Fixed Income	0.5%	1.5%	3.4%	0.26%	\$9	\$3,313	8.8%	Barclays U.S. Agg Bond	-1.6%	-0.3%	3.0%
CREF Inflation-Linked Bond R2	Fixed Income	4.0%	5.9%	3.3%	0.23%	\$2	\$749	2.0%	Barclays Treasury US TIPS	1.7%	6.5%	4.2%
CREF Money Market R2	Money Market	0.0%	0.0%	0.8%	0.24%	\$3	\$1,373	3.6%	Barclays Short Treasury	0.0%	0.1%	1.3%
Total Variable Options		12.3%	34.4%	13.9%	0.25%	\$75	\$29,416	78.2%		11.4%	34.2%	14.7%
Traditional	Guaranteed	1.5%	3.1%	3.2%	0.45%	\$37	\$8,205	21.8%				
Grand Total		9.7%	25.7%	10.9%	0.30%	\$112	\$37,621	100.0%				